





## Chart Audit & Chart Stimulated Recall Key – Surgical Specialists

### (Evidence of Care Provided)

ITEMS	
a)	<p><b>For referred patients, is referral information present?</b></p> <p>Yes, if: a written request from the referring physician is in the chart.</p>
b)	<p><b>Do the recorded history and physical findings support the problem or diagnosis?</b></p> <p>Yes, if: there is evidence of any elaboration of the presenting complaint and evidence of examination, when appropriate, of: the offending part, an organ system, including features to distinguish the seriousness of the problem, and vital signs.</p> <p>Comment if important history is not evident (e.g. the features of a chest pain; the cause of an injury; suicidal ideation in a case of depression; the features that distinguish among important diagnoses.)</p>
c)	<p><b>Are problems or diagnoses recorded in the chart?</b></p> <p>Yes, if: problem labels (e.g. hip pain) or diagnoses (e.g. osteoarthritis) are recorded.</p> <p>Comment if patients' undifferentiated complaints are simply restated without evidence of being defined by the physician (e.g. chest pain without history or physical examination or apparent attempt to characterize the pain).</p> <p>Comment if major medical problems are overlooked.</p>
d)	<p><b>Do x-rays and laboratory tests support the problem or diagnosis?</b></p> <p>Yes, if: investigations ordered reflect modern choices for laboratory and imaging studies AND are sufficient in each case to assess a problem or diagnosis. They may or may not be noted in the visit record.</p>
e)	<p><b>Are abnormal findings or results properly followed-up?</b></p> <p>Yes, if: appropriate action is taken on abnormal findings on laboratory and imaging studies (i.e. advice, further investigation, treatment)</p>
f)	<p><b>Are the names, dosages and quantities of prescribed medications recorded?</b></p> <p>Self-explanatory.</p>
g)	<p><b>Are non-operative treatments and other interventions recorded and appropriate?</b></p> <p>Yes, if: advice or management strategy is recorded.</p>
h)	<p><b>Is a copy of the consultation letter/report present?</b></p> <p>Self-explanatory.</p>